

23388
A.2069 PCT

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
27 November 2003 (27.11.2003)

PCT

(10) International Publication Number
WO 03/098664 A2

(51) International Patent Classification⁷:

H01L

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.

(21) International Application Number: PCT/US03/15598

(22) International Filing Date: 15 May 2003 (15.05.2003)

(25) Filing Language: English

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(26) Publication Language: English

(30) Priority Data:
60/380,598 15 May 2002 (15.05.2002) US

(71) Applicant: THE REGENTS OF THE UNIVERSITY
OF CALIFORNIA [US/US]; 1111 Franklin St., Twelfth
Floor, Oakland, CA 94607 (US).

Published:

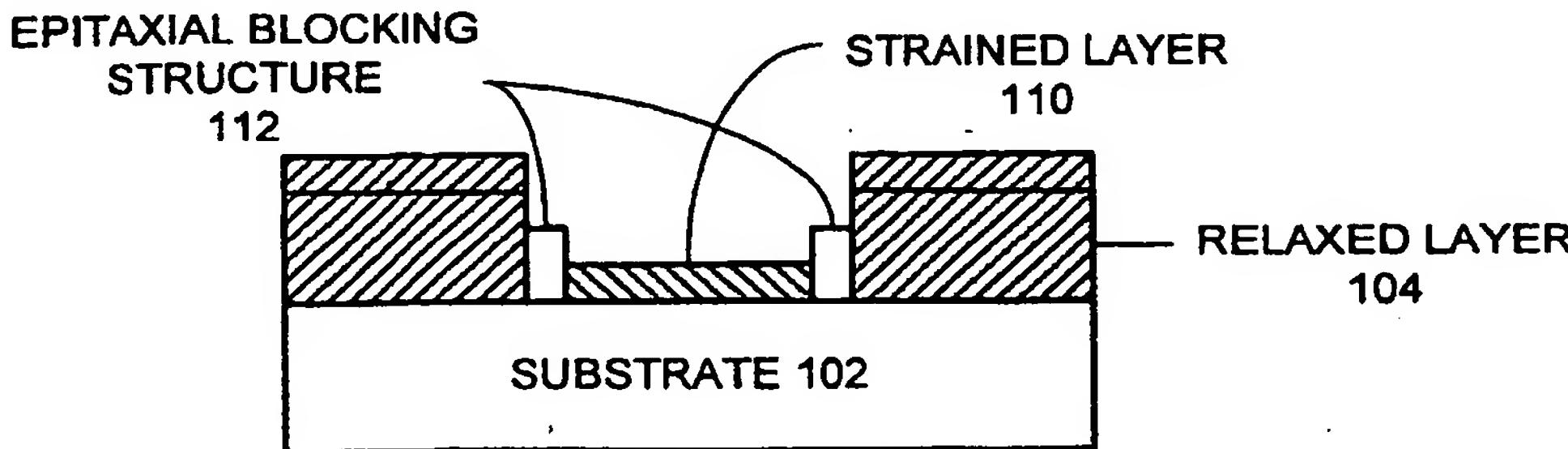
— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(72) Inventors: PETERSON, Jeffrey; 8900 Lantana Way,
Austin, TX 78749 (US). HUNT, Charles; 35125 County
Road 31, Davis, CA 95616 (US).

(74) Agent: PARK, Richard; 508 Second St., Ste. 201, Davis,
CA 95616 (US).

(54) Title: METHOD FOR CO-FABRICATING STRAINED AND RELAXED CRYSTALLINE AND POLY-CRYSTALLINE
STRUCTURES



WO 03/098664 A2

(57) Abstract: One embodiment of the present invention provides a system for co fabricating strained and relaxed crystalline, polycrystalline, and amorphous structures in an integrated circuit device using common fabrication steps. The system operates by first receiving a substrate. The system then fabricates multiple layers on this substrate. A layer within these multiple layers includes both strained structures and relaxed structures. These strained structures and relaxed structures are fabricated simultaneously using common fabrication steps.

METHOD FOR CO-FABRICATING STRAINED AND RELAXED CRYSTALLINE AND POLY- CRYSTALLINE STRUCTURES

5

10

BACKGROUND

Field of the Invention

[0001] The present invention relates to the process of fabricating integrated devices. More specifically, the present invention relates to a method for co-fabricating strained and relaxed crystalline, poly-crystalline, and amorphous structures during integrated device fabrication.

Related Art

[0002] Fabrication of integrated devices (integrated circuits, discrete electronic devices, Micro ElectroMechanical Systems (MEMS), optical components, materials using silicon-germanium (SiGe) and silicon-germanium-carbon (SiGeC), and other materials and devices) typically entails growing several layers of material. A common fabrication technique produces strained or relaxed structures within the layers to alter the properties of the layers.

[0003] For example, strained and relaxed structures are used during fabrication of an integrated device in creating high-speed complementary metal-oxide semiconductor (CMOS) circuitry. Positive channel metal-oxide semiconductor (PMOS) devices with compressively strained layers (e.g. SiGe or SiGeC channels) have the desirable quality of being faster than their silicon counterparts. However, the opposite is true of compressively strained SiGe negative channel metal-oxide semiconductor (NMOS) devices; they are slower than their silicon counterparts. In order to obtain fast NMOS devices, strained Si channels are fabricated over a relaxed (e.g. SiGe or SiGeC) layer (also known as a buffer layer). The integration of both of these types of devices on a common substrate, however, requires that both strained and relaxed SiGe (or SiGeC) layers be present on that substrate. Unfortunately, current fabrication techniques do not allow the simultaneous fabrication of both strained and relaxed crystalline, poly-crystalline, and amorphous structures using common fabrication steps.

[0004] Hence, what is needed is a method for co-fabricating strained and relaxed crystalline, poly-crystalline, and amorphous structures without the problems described above.

SUMMARY

[0005] One embodiment of the present invention provides a system for co-fabricating strained and relaxed crystalline, poly-crystalline, and amorphous structures in an integrated circuit device using common fabrication steps. During operation, the system co-fabricsates multiple layers on a substrate. At least one of these layers includes both strained structures and relaxed crystalline, poly-crystalline, and amorphous layers, which are fabricated simultaneously using common fabrication steps.

[0006] In a variation of this embodiment, co-fabricating multiple layers on the substrate involves first creating a strained, relaxed, poly-crystalline, or amorphous epitaxial layer with a thickness greater than, equal to, or less than a critical thickness on

the substrate. Next, the system modifies areas of this relaxed epitaxial layer to provide areas for a strained epitaxial layer. This may include removing areas of the relaxed epitaxial layer. The system then creates a strained epitaxial layer with a thickness less than the critical thickness over the exposed portions of the substrate.

5 [0007] In a further variation, co-fabricating multiple layers on the substrate involves first providing epitaxial blocking layers on the substrate to delineate some areas and other areas. The system then forms an epitaxial layer on the substrate, wherein the epitaxial layer is a strained epitaxial layer in some areas and is a relaxed epitaxial layer in other areas. In one embodiment, small areas will be strained while large areas will be
10 relaxed.

[0008] In a further variation, co-fabricating multiple layers on the substrate involves first forming an epitaxial layer with a thickness greater than, equal to, or less than a critical thickness on the substrate. Next, the system forms a capping layer on some or all areas of the epitaxial layer. This capping layer provides a strained layer in areas
15 covered by the cap a relaxed layer in those areas not covered by the cap. Epitaxial blocking layers may be used as capping layers. Capping layers may lie under, in, or over the epitaxial layer.

[0009] In a further variation, co-fabricating multiple layers on the substrate involves building up a circuit by repeating a process that first forms an epitaxial layer,
20 and then forms an epitaxial blocking layer over portions of the epitaxial layer. In one embodiment, areas where cumulative depositions of the epitaxial layer are less than a critical dimension provide a strained epitaxial layer and areas where cumulative depositions are greater than the critical dimension provide a relaxed or strained crystalline, poly-crystalline, and/or amorphous epitaxial layer.

25 [0010] In a further variation, co-fabricating multiple layers on the substrate involves first forming an epitaxial layer with a thickness greater than, equal to, or less than a critical thickness and then treating a selected area of the epitaxial layer to create a

relaxed or strained crystalline, poly-crystalline, and/or amorphous epitaxial layer in the selected area. Treating the selected area can be accomplished using a light source, an e-beam source, a sound source, a maser, an infrared source, an ultrasonic source, another heat source, or another energy source.

5 [0011] In a further variation, co-fabricating multiple layers on the substrate involves first forming an epitaxial layer on the substrate, perhaps selecting areas of the epitaxial layer, and then using implantation to provide energy to relax or strain areas of the epitaxial layer.

10 [0012] In a further variation, co-fabricating multiple layers on the substrate involves first forming an epitaxial layer with a thickness greater than, equal to, or less than a critical thickness on the substrate and then implanting an element that can prevent relaxation during a subsequent treatment as well as create crystalline, poly-crystalline, and amorphous layers.

15 [0013] In a further variation, co-fabricating multiple layers on the substrate involves first forming an epitaxial layer with a thickness less than, equal to, or greater than a critical thickness on the substrate and then implanting an element into the epitaxial layer that can cause strain or relaxation during a subsequent treatment.

20 [0014] In a further variation, co-fabricating multiple layers on the substrate involves first modifying selected areas of the substrate and then depositing or modifying an epitaxial layer on the substrate. These modified areas produce either a strained area or a relaxed area.

25 [0015] In a further variation, co-fabricating multiple layers on the substrate involves first creating a crystalline, poly-crystalline, or amorphous area on the substrate and then depositing or modifying an epitaxial layer on the substrate. The area provides a template for a relaxed or strained area.

[0016] In a further variation, co-fabricating multiple layers on the substrate involves first modifying a base material in selected regions of the substrate and then

depositing or modifying an epitaxial layer on the substrate. The modified area produces either a strained area or a relaxed area.

[0017] In a further variation, co-fabricating multiple layers on the substrate involves first modifying a growth property of an interface with the substrate. This can be 5 accomplished using a surfactant, a catalyst, a material, a selective treatment, or by using another method to modify the growth property of the interface. The system then deposits or modifies an epitaxial layer on the substrate. The modified area of the substrate produces either a strained area or a relaxed area.

10

BRIEF DESCRIPTION OF THE FIGURES

[0018] FIG. 1A illustrates a substrate with a relaxed layer in accordance with an embodiment of the present invention.

[0019] FIG. 1B illustrates an etched cavity within a relaxed layer in accordance with an embodiment of the present invention.

15

[0020] FIG. 1C illustrates growing a strained layer and a relaxed layer in accordance with an embodiment of the present invention.

[0021] FIG. 2A illustrates a plan view of a relaxed structure and a strained structure in accordance with an embodiment of the present invention.

[0022] FIG. 2B illustrates a cut view of a relaxed structure and a strained structure 20 in accordance with an embodiment of the present invention.

[0023] FIG. 3A illustrates a substrate with a strained layer in accordance with an embodiment of the present invention.

[0024] FIG. 3B illustrates an epitaxial blocking structure on a strained layer in accordance with an embodiment of the present invention.

25

[0025] FIG. 3C illustrates relaxed layers and a strained layer on a substrate in accordance with an embodiment of the present invention.

[0026] FIG. 4A illustrates a strained layer on a substrate in accordance with an embodiment of the present invention.

[0027] FIG. 4B illustrates using radiation to relax a strained layer in accordance with an embodiment of the present invention.

5 [0028] FIG. 5 illustrates using ion implantation to provide relaxation energy in accordance with an embodiment of the present invention.

[0029] FIG. 6A illustrates a relaxed layer and a strained layer on a substrate in accordance with an embodiment of the present invention.

10 [0030] FIG. 6B illustrates implanting small ions to cause a relaxed layer to become strained in accordance with an embodiment of the present invention.

[0031] FIG. 6C illustrates implanting large ions to cause a strained layer to become relaxed in accordance with an embodiment of the present invention.

[0032] FIG. 7A illustrates a plan view of a modified substrate in accordance with an embodiment of the present invention.

15 [0033] FIG. 7B illustrates growing strained and relaxed layers on a modified substrate in accordance with an embodiment of the present invention.

DETAILED DESCRIPTION

[0034] The following description is presented to enable any person skilled in the art to make and use the invention, and is provided in the context of a particular application and its requirements. Various modifications to the disclosed embodiments will be readily apparent to those skilled in the art, and the general principles defined herein may be applied to other embodiments and applications without departing from the spirit and scope of the present invention. Thus, the present invention is not intended to be limited to the embodiments shown, but is to be accorded the widest scope consistent with the principles and features disclosed herein.

[0035] The data structures and code described in this detailed description are typically stored on a computer readable storage medium, which may be any device or medium that can store code and/or data for use by a computer system. This includes, but is not limited to, magnetic and optical storage devices such as disk drives, magnetic tape, 5 CDs (compact discs) and DVDs (digital versatile discs or digital video discs), and computer instruction signals embodied in a transmission medium (with or without a carrier wave upon which the signals are modulated). For example, the transmission medium may include a communications network, such as the Internet.

10 **Etch Stop Removal of Epitaxial Layers**

[0036] FIG. 1A illustrates a substrate 102 with a relaxed layer 104 in accordance with an embodiment of the present invention. Relaxed layer 104 is an epitaxial layer with a thickness greater than a given critical thickness that has been grown on substrate 102. The critical thickness is dependent upon the materials used for substrate 102 and relaxed 15 layer 104. This critical thickness is known for many materials and, for example, is assumed to be 80 nm for a certain composition of SiGe on Si. Photoresist 106 is applied to relaxed layer 104 in preparation for an etching step that will etch away portions of relaxed layer 104 that are not protected. This process is a patterning process, wherein photoresist 106 patterns the relaxed layer to provide the desired elements. The etching 20 step can include selective etching as described in US Patent Number 5,961,877 to Robinson et al.

[0037] Note that while these descriptions recite silicon, SiGe, and SiGeC, the present invention is applicable to any other material system such as InP on Si, GaAs on Si, etc. Also note that while the figures show flat layers, both conformal and non-25 conformal layers in varied orientations are equally likely. Note that the materials may be crystalline, poly-crystalline, amorphous, etc.

[0038] FIG. 1B illustrates an etched cavity within a relaxed layer in accordance with an embodiment of the present invention. After relaxed layer 104 has been etched in the areas where photoresist 106 was not applied, etched cavity 108 is left in relaxed layer 104. Photoresist 106 has been removed in preparation for growth of the next layer.

5 [0039] FIG. 1C illustrates growing a strained layer and a relaxed layer in accordance with an embodiment of the present invention. A thin epitaxial layer has been grown over the entire surface except where epitaxial blocking structure 112 has been added. Note that strained layer 110 has been grown in etched cavity 108. Note also that relaxed layer 104 has been grown to a greater thickness but still remains relaxed since the
10 thickness of relaxed layer 104 is still greater than the given critical thickness. Note that treatment may be selective such that layer 104 is not modified.

15 [0040] Strained layer 110 can be the same material as relaxed layer 104 or can be a different material. Relaxed layer 104 can be prevented from growing during the growth of strained layer 110 by using epitaxial blocking structures on the top surfaces of relaxed layer 104, or by other means.

Mechanical Properties

20 [0041] FIG. 2A illustrates a plan view of a relaxed structure 206 and a strained structure 204 in accordance with an embodiment of the present invention. Strained structure 204 has been constructed in a confined area within substrate 202, which prevents strained structure 204 from relaxing. Relaxed structure 206 has been grown on top of substrate 202. Relaxed structure 206 is not mechanically constrained and can relax if its thickness is greater than a given critical thickness. Cut line 208 is shown to provide a reference for FIG. 2B.

25 [0042] FIG. 2B illustrates a cut view of a relaxed structure 206 and a strained structure 204 in accordance with an embodiment of the present invention. Note that

strained structure 204 is embedded within substrate 202 while relaxed structure 206 is grown on top of substrate 202.

[0043] In general, layers may be grown in a confined area, which modifies strain.

Note that serpentine designs may be used to modify strain in two or more dimensions.

- 5 Completely enclosed layers (e.g. quantum lines and dots) may be prevented from relaxing in three dimensions.

[0044] Mechanical properties also include area properties, capping layers, and strained caps. For area properties, the resistance of small areas to relaxation is used to create strained regions of small area, while regions of large area become relaxed (various 10 stages of non-strain). Epitaxial growth is done in a single step using epitaxial blocks to define the areas of each region.

[0045] Capping layers can increase the critical thickness substantially. In this method, a layer with a layer thickness greater than the critical thickness but less than a critical thickness with capping is deposited over the entire substrate. Capping layers are 15 then added to those structures that will be strained while other areas become relaxed. Epitaxial blocks may be used.

[0046] When using strained caps, capping layers of different lattice dimension are used to increase or decrease the critical thickness with capping. When the critical thickness with capping is decreased, the layers may be forced to relax. When the critical 20 thickness with capping is increased, layer thickness may be increased without relaxation.

Blocked Epitaxial Regrowth

[0047] FIG. 3A illustrates a substrate 302 with a strained layer 304 in accordance with an embodiment of the present invention. Strained layer 304 has been grown on 25 substrate 302 and has a thickness less than a critical thickness, which causes the strained layer 304 to be strained.

10

[0048] FIG. 3B illustrates an epitaxial blocking structure 306 on a strained layer 304 in accordance with an embodiment of the present invention. Epitaxial blocking structure 306 has been applied to areas of strained layer 304 that are to remain strained during the step described in conjunction with FIG. 3C.

5 [0049] FIG. 3C illustrates relaxed layers 308 and a strained layer 304 on a substrate in accordance with an embodiment of the present invention. After applying epitaxial blocking structure 306, epitaxial growth of the exposed areas of strained layer 304 is continued until the thickness of these layers is greater than the critical thickness. These areas then relax leaving relaxed layer 308. Epitaxial blocking structure 306 is then
10 removed.

15 [0050] By combining this method with the method described below in conjunction with FIG. 7, it is possible to follow the blocking step with a blanket deposition (which also covers the epitaxial blocking or alternate substrates), thereby co-fabricating wholly, or partially strained structures in parallel with amorphous, polycrystalline, or differently strained layers. This may be done in a single growth step.⁸

Thermal Annealing

20 [0051] FIG. 4A illustrates a strained layer 404 on a substrate 402 in accordance with an embodiment of the present invention. Epitaxial blocking structures 406 have been included to isolate portions of strained layer 404.

25 [0052] FIG. 4B illustrates using radiation 410 to relax a strained layer 404 in accordance with an embodiment of the present invention. Radiation 410 is applied to strained layer 404 through mask 408. Mask 408 selectively blocks some of radiation 410 while allowing radiation 410 to reach the center portion of strained layer 404. The thermal effects of radiation 410 causes the center portion of strained layer 404 to relax becoming relaxed layer 412. Note that it is also possible to scan radiation 410 over selected portions of strained layer 404 rather than using mask 408. Radiation 410 can be

11

radiation from a light source, an e-beam source, a sound source, a maser, and infrared source, an ultrasonic source, or any energy source that creates thermal effects within strained layer 404. Note that masking may be by other means.

5 **Post Treatment**

[0053] FIG. 5 illustrates using ion implantation to provide relaxation energy in accordance with an embodiment of the present invention. This method begins with a system similar to that shown in FIG. 4A. Strained layer 504 is grown on substrate 502. The relaxation energy is provided from ion source 508 through mask 506. Mask 506 10 allows ion source 508 to provide relaxation energy to the center area of strained layer 504. This relaxation energy causes the center portion of strained layer 504 to relax becoming relaxed layer 510.

Post Growth Implantation

15 [0054] FIG. 6A illustrates a relaxed layer 606 and a strained layer 608 on a substrate 602 in accordance with an embodiment of the present invention. Epitaxial blocking structure 604 is used to separate relaxed layer 606 and strained layer 608. In this method, different sized ions are implanted within the various layers to either cause relaxation or to cause strain.

20 [0055] FIG. 6B illustrates implanting small ions to cause a relaxed layer 606 to become strained layer 612 in accordance with an embodiment of the present invention. Small ion source 610 implants small ions (i.e. B or C) into relaxed layer 606. These small ions cause relaxed layer 606 to become strained layer 612.

25 [0056] FIG. 6C illustrates implanting large ions to cause a strained layer 608 to become relaxed layer 616 in accordance with an embodiment of the present invention. Large ion source 614 implants large ions (i.e. Ge, or In) into strained layer 608. These large ions cause strained layer 608 to become relaxed layer 616.

Substrate Modification

[0057] FIG. 7A illustrates a plan view of a modified substrate 702 in accordance with an embodiment of the present invention. Modified substrate area 706 is created 5 using any of several methods. Epitaxial blocking structure 704 is used to define modified substrate area 706. The method used to modify the modified substrate area 706 include: base material modification, amorphousation, interface properties, and growth properties.

[0058] Base material modification causes the base material in certain areas to be modified before deposition to create a template that will produce a relaxed or a strained 10 area. For example, Boron implantation followed by an annealing of the base material causes reduced base material lattice dimensions leading to a strained area.

[0059] Amorphousation causes the base material in certain areas to become amorphous before deposition to create a template which will produce relaxed or amorphous layers in the treated areas.

15 [0060] When using interface properties, the base material in certain areas is modified in selected regions to produce relaxed or strained areas. An example of this technique may use an implanted species to affect selected areas through autodoping.

[0061] Using growth properties provides modification of interface, substrate, surfactants, catalysts, etc. These modifications are made in selected regions to produce 20 relaxed or strained areas. An example of this technique includes selective deposition of either surfactant or interfering species to affect layer deposition.

[0062] FIG. 7B illustrates growing strained and relaxed layers on a modified substrate in accordance with an embodiment of the present invention. Strained layer 708 has been grown on the unmodified portion of substrate 702, while relaxed layer 710 has 25 been grown on modified substrate area 706. Note that the strained and relaxed areas grown on substrate 702 can be reversed from that shown depending on the substrate modification used and the thickness and material used to grow the epitaxial layer.

13

[0063] Note that the techniques described herein work equally well for parallel and serial fabrication. Note also that the expression "differently strained" refers to any change in strain, such as compressive not equal to compressive, compressive not equal to tensile, tensile not equal to relaxed. Relaxed layers may have various amounts of
5 relaxation and strains.

[0064] The foregoing descriptions of embodiments of the present invention have been presented for purposes of illustration and description only. They are not intended to be exhaustive or to limit the present invention to the forms disclosed. Accordingly, many modifications and variations will be apparent to practitioners skilled in the art.
10 Additionally, the above disclosure is not intended to limit the present invention. The scope of the present invention is defined by the appended claims.

What Is Claimed Is:

1. A method for co-fabricating strained and relaxed crystalline, polycrystalline, and amorphous structures in an integrated circuit device using common fabrication steps, comprising:
 - 5 receiving a substrate; and
 - 10 fabricating a plurality of layers on the substrate, wherein a layer within the plurality of layers includes one or more of a strained structure and a relaxed structure and wherein the strained structure and the relaxed structure are fabricated simultaneously using common fabrication steps.
2. The method of claim 1, wherein fabricating the plurality of layers on the substrate involves:
 - 15 forming a first layer with a thickness greater than, equal to, or less than a critical thickness on the substrate, wherein the first layer is a relaxed or strained layer;
 - treating areas of the first layer to provide areas for a subsequent layer; and
 - 10 forming a second layer, wherein the second layer is a layer of different strain.
3. The method of claim 1, wherein fabricating the plurality of layers on the substrate involves:
 - 20 providing blocking layers on the substrate to delineate some areas and other areas;
 - and
 - forming a layer on the substrate, wherein the layer is a strained layer in some areas and wherein the layer is a layer of different strain in other areas.
4. The method of claim 1, wherein fabricating the plurality of layers on the substrate involves:

15

forming a layer with a thickness greater than, equal to, or less than a critical thickness on the substrate;

providing a blocking layer on selected areas of the layer; and

5 forming a capping layer on selected areas of the layer, wherein the capping layer provides a layer with a different level of strain than other layers.

5. The method of claim 1, wherein fabricating the plurality of layers on the substrate involves:

building up a circuit by repeating a process of:

forming a layer, and

10 providing a blocking layer;

wherein areas where cumulative depositions that are less than, equal to, or greater than a critical dimension provide a strained or non-strained layer, and areas where cumulative depositions are greater than, equal to, or less than the critical dimension provide a layer of different strain.

15 6. The method of claim 1, wherein fabricating the plurality of layers on the substrate involves:

forming a layer with a thickness less than, equal to, or greater than a critical thickness; and

20 treating a selected area of the layer to create a relaxed layer in the selected area, wherein treating the selected area can be accomplished using one of a light source, an e-beam source, a sound source, a maser, an infrared source, an ultrasonic source, another heat source, and another energy source.

7. The method of claim 1, wherein fabricating the plurality of layers on the substrate involves:

16

forming a layer on the substrate; and
using implantation to provide energy to relax selected areas of the layer.

8. The method of claim 1, wherein fabricating the plurality of layers on the substrate involves:

5 forming a layer with a thickness greater than, equal to, or less than a critical thickness on the substrate; and
implanting an element that can modify strain after a subsequent treatment.

9. The method of claim 1, wherein fabricating the plurality of layers on the substrate involves:

10 forming a layer with a thickness less than, equal to, or greater than a critical thickness on the substrate; and
implanting an element that can modify strain after a subsequent treatment.

10. The method of claim 1, wherein fabricating the plurality of layers on the substrate involves:

15 modifying selected areas of the substrate; and
forming a layer on the substrate, wherein modified areas produce areas of different strain.

11. The method of claim 1, wherein fabricating the plurality of layers on the substrate involves:

20 creating a modified area on the substrate; and
forming a layer on the substrate, wherein the amorphous area provides a template for an area of different strain.

17

12. The method of claim 1, wherein fabricating the plurality of layers on the substrate involves:

modifying a base material in selected regions of the substrate; and

5 forming a layer on the substrate, wherein a modified area produces an area of different strain.

13. The method of claim 1, wherein fabricating the plurality of layers on the substrate involves:

modifying a growth property of an interface with the substrate, wherein modifying the growth property includes one of using a surfactant, using a catalyst material, using 10 selective treatment, and using another method to modify the growth property; and

forming a layer on the substrate, wherein a modified area produces areas of different strain.

14. A semiconductor device formed by a process for co-fabricating strained and relaxed crystalline, poly-crystalline, and amorphous structures in an integrated circuit 15 device using common fabrication steps, the process comprising:

receiving a substrate; and

fabricating a plurality of layers on the substrate, wherein a layer within the plurality of layers includes one or more of a strained structure and a relaxed structure and wherein the strained structure and the relaxed structure are fabricated simultaneously 20 using common fabrication steps.

15. The semiconductor device of claim 14, wherein fabricating the plurality of layers on the substrate involves:

forming a first layer with a thickness greater than, equal to, or less than a critical thickness on the substrate;

18

modifying areas of the first layer to provide areas for a second layer; and forming a second layer with a thickness less than, equal to, or greater than the critical thickness, wherein the second layer is a layer of different strain.

16. The semiconductor device of claim 14, wherein fabricating the plurality of
5 layers on the substrate involves:

providing blocking layers on the substrate to delineate some areas and other areas;
and

forming a layer on the substrate, wherein the layer is a strained layer in some areas
and wherein the layer is a different strain in other areas.

10 17. The semiconductor device of claim 14, wherein fabricating the plurality of
layers on the substrate involves:

forming a layer with a thickness greater than, equal to, or less than a critical
thickness on the substrate;

providing a blocking layer on selected areas of the layer; and
15 forming a capping layer on remaining exposed areas of the layer, wherein the
capping layer provides a layer of different strain.

18. The semiconductor device of claim 14, wherein fabricating the plurality of
layers on the substrate involves:

building up a circuit by repeating a process of:
20 forming a layer, and
providing a blocking layer;

wherein areas where cumulative depositions are less than, equal to, or greater than
a critical dimension provide a strained layer, and areas where cumulative depositions that

19

are greater than, equal to, or less than the critical dimension provide a layer of different strain.

19. The semiconductor device of claim 14, wherein fabricating the plurality of layers on the substrate involves:

5 forming a layer with a thickness less than, equal to, or greater than a critical thickness; and

treating a selected area of the layer to create a relaxed layer or a strained layer in the selected area, wherein treating the selected area can be accomplished using one of a light source, an e-beam source, a sound source, a maser, an infrared source, an ultrasonic 10 source, another heat source, and another energy source.

20. The semiconductor device of claim 14, wherein fabricating the plurality of layers on the substrate involves:

forming a layer on the substrate;
masking selected areas of the layer; and
15 using implantation to provide energy to relax or strain non-masked areas of the layer.

21. The semiconductor device of claim 14, wherein fabricating the plurality of layers on the substrate involves:

20 forming a layer with a thickness greater than, equal to, or less than a critical thickness on the substrate; and
implanting an element that can prevent or cause relaxation during a subsequent treatment.

20

22. The semiconductor device of claim 14, wherein fabricating the plurality of layers on the substrate involves:

forming a layer with a thickness less than a critical thickness on the substrate; and implanting an element that can cause relaxation during a subsequent treatment.

5

23. The semiconductor device of claim 14, wherein fabricating the plurality of layers on the substrate involves:

modifying selected areas of the substrate; and

forming a layer on the substrate, wherein modified areas produce a layer of different strain.

10

24. The semiconductor device of claim 14, wherein fabricating the plurality of layers on the substrate involves:

creating an amorphous area on the substrate; and

forming a layer on the substrate, wherein the amorphous area provides a template for an area of different strain.

15

25. The semiconductor device of claim 14, wherein fabricating the plurality of layers on the substrate involves:

modifying a base material in selected regions of the substrate; and

forming a layer on the substrate, wherein a modified area produces an area of different strain.

20

26. The semiconductor device of claim 14, wherein fabricating the plurality of layers on the substrate involves:

modifying a growth property of an interface with the substrate, wherein modifying the growth property includes one of using a surfactant, using a catalyst material, using selective treatment, and using another method to modify the growth property; and forming a layer on the substrate, wherein a modified area produces areas of
5 different strain.

27. A means for co-fabricating strained and relaxed crystalline, polycrystalline, and amorphous structures in an integrated circuit device using common fabrication steps, comprising:

a receiving means for receiving a substrate; and
10 a fabricating means for fabricating a plurality of layers on the substrate, wherein a layer within the plurality of layers includes one or more of a strained structure and a relaxed structure and wherein the strained structure and the relaxed structure are fabricated simultaneously using common fabrication steps.

28. The means of claim 27, wherein the fabricating means includes:
15 a means for forming a first layer with a thickness less than, equal to, or greater than a critical thickness on the substrate, wherein the first layer is a relaxed layer;
a means for modifying areas of the first layer to provide areas for a second layer;
and
20 a means for forming a second layer with a thickness less than, equal to, or greater than the critical thickness, wherein the second layer is a layer with different strain.

29. The means of claim 27, wherein the fabricating means includes:
a means for providing blocking layers on the substrate to delineate some areas and other areas; and

22

a means for forming a layer on the substrate, wherein the layer is a strained layer in some areas and wherein the layer is layer of different strain in other areas.

30. The means of claim 27, wherein the fabricating means includes:

a means for forming a layer with a thickness greater than, equal to, or less than a
5 critical thickness on the substrate;

a means for providing a blocking layer on selected areas of the layer; and

a means for forming a capping layer on remaining exposed areas of the layer,
wherein the capping layer provides a strained layer or relaxed layer and the layer provides
a layer of different strain.

10 31. The means of claim 27, wherein the fabricating means includes:

a building means for building up a circuit by repeating a process of:

forming a layer, and

providing a blocking layer;

wherein areas where cumulative treatments are less than, equal to, or greater than
15 a critical dimension provide a strained layer, and areas where cumulative treatments are
greater than, equal to, or less than the critical dimension provide a layer of different
strain.

32. The means of claim 27, wherein the fabricating means includes:

a means for forming a layer with a thickness less than, equal to, or greater than a
20 critical thickness; and

a means for treating a selected area of the layer to create a relaxed layer in the
selected area, wherein treating the selected area can be accomplished using one of a light
source, an e-beam source, a sound source, a maser, an infrared source, an ultrasonic
source, another heat source, and another energy source.

23

33. The means of claim 27, wherein the fabricating means includes:
a means for forming a layer on the substrate;
a means for selecting areas of the layer; and
a means for using implantation to provide energy to modify the strain of non-
5 selected areas of the layer.

34. The means of claim 27, wherein the fabricating means includes:
a means for forming a layer with a thickness greater than a critical thickness on
the substrate; and
a means for implanting an element that can prevent relaxation during a subsequent
10 treatment.

35. The means of claim 27, wherein the fabricating means includes:
a means for forming a layer with a thickness less than a critical thickness on the
substrate; and
a means for implanting an element that can modify strain during a subsequent
15 treatment.

36. The means of claim 27, wherein the fabricating means includes:
a means for modifying selected areas of the substrate; and
a means for forming a layer on the substrate, wherein modified areas produce one
of a strained area and an area with different strain.

20 37. The means of claim 27, wherein the fabricating means includes:
a means for creating a modified area on the substrate; and
a means for forming a layer on the substrate, wherein the modified area has
different strain than the other.

24

38. The means of claim 27, wherein the fabricating means includes:
a means for modifying a base material in selected regions of the substrate; and
a means for forming a layer on the substrate, wherein a modified area produces a different strain.

5 39. The means of claim 27, wherein the fabricating means includes:
a means for modifying a property of an interface with the substrate, wherein modifying the property includes one of adding a surfactant, adding a catalyst material, using another method, or using selective treatment to modify the property; and
a means for forming a layer on the substrate, wherein a modified area produces an area of different strain.

10 40. A computer-readable storage medium storing instructions that when executed by a computer cause the computer to perform a method for co-fabricating strained and relaxed crystalline, poly-crystalline, and amorphous structures in an integrated circuit device using common fabrication steps, the method comprising:

15 receiving a substrate; and
 fabricating a plurality of layers on the substrate, wherein a layer within the plurality of layers includes one or more of a strained structure and/or a relaxed structure and wherein the strained structure and/or the relaxed structure are fabricated simultaneously using common fabrication steps.

20 41. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:

 forming a first layer with a thickness greater than a critical thickness on the substrate, wherein the first layer is a layer of different strain;

25

modifying areas of the first layer to provide areas for a strained layer, wherein modifying areas of the first layer includes using patterning and selective etch properties of the first layer; and

- 5 forming a second layer with a thickness less than, equal to, or greater than the critical thickness, wherein the second layer is the layer of different strain.

42. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:

providing blocking layers on the substrate to delineate some areas and other areas; and

- 10 forming a layer on the substrate, wherein the layer is a strained layer or a relaxed layer in some areas and wherein the layer is a layer of different strain.

43. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:

- 15 forming a layer with a thickness greater than, equal to, or less than a critical thickness on the substrate;

providing a blocking layer on some areas of the layer; and

forming a capping layer on remaining exposed areas of the layer, wherein the capping layer provides a strained layer and the layer provides a layer of different strain.

44. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:

building up a circuit by repeating a process of:

forming a layer, and

providing a blocking layer;

26

wherein areas where cumulative treatments are less than, equal to, or greater than a critical dimension provide a layer of different strain, and areas where cumulative treatments are greater than, equal to, or less than the critical dimension provide a layer of different strain.

5 45. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:

forming a layer with a thickness less than, equal to, or greater than a critical thickness; and

10 treating a selected area of the layer to create a layer of different strain in the selected area, wherein treating the selected area can be accomplished using one of a light source, an e-beam source, a sound source, a maser, an infrared source, an ultrasonic source, another heat source, and another energy source.

15 46. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:

forming a layer on the substrate;
masking selected areas of the layer; and
using implantation to provide energy to relax or strain other areas of the layer.

20 47. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:

forming a layer with a thickness greater than, equal to, or less than a critical thickness on the substrate; and
implanting an element that gives different strain after treatment.

48. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:

forming a layer with a thickness less than, equal to, or greater than a critical thickness on the substrate; and

5 implanting an element that can cause different strain during a subsequent treatment.

49. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:

modifying selected areas of the substrate; and

10 forming a layer on the substrate, wherein modified areas produce an area of different strain.

50. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:

creating a modified area on the substrate; and

15 forming a layer on the substrate, wherein the modified area provides a template for an area of different strain.

51. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:

modifying a base material in selected regions of the substrate; and

20 forming a layer on the substrate, wherein a modified area produces areas of different strain.

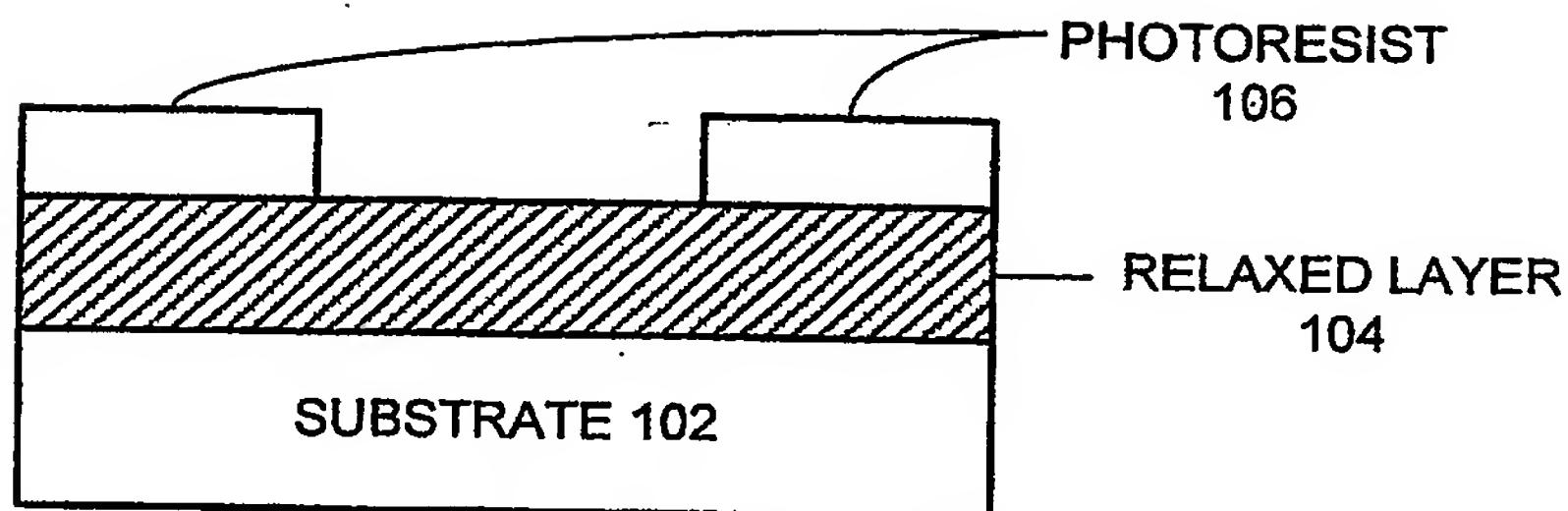
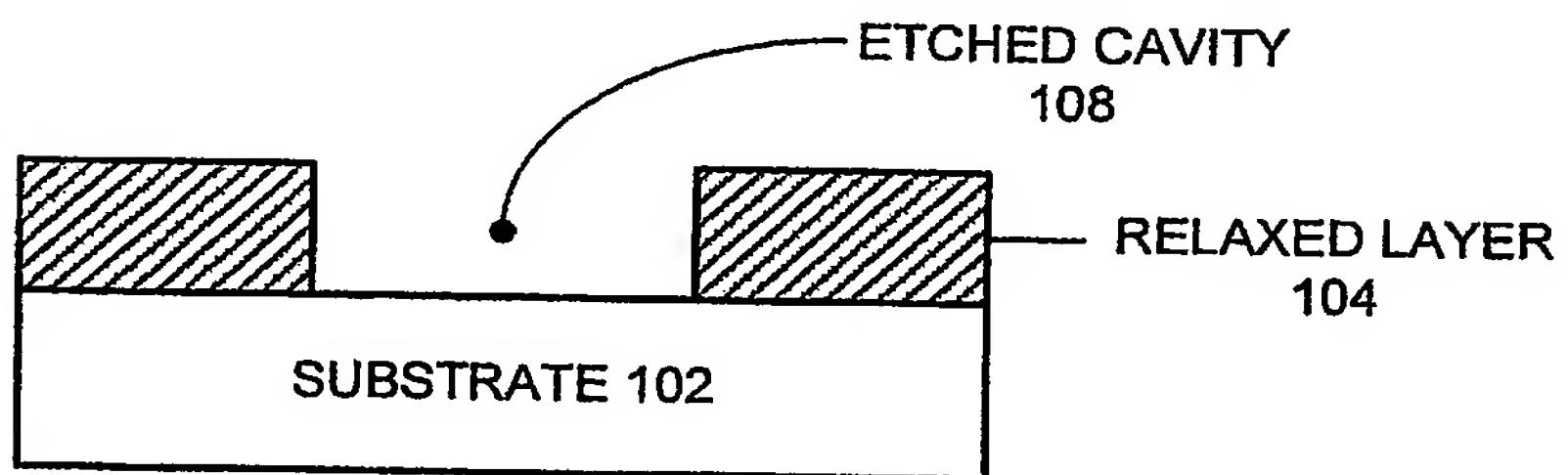
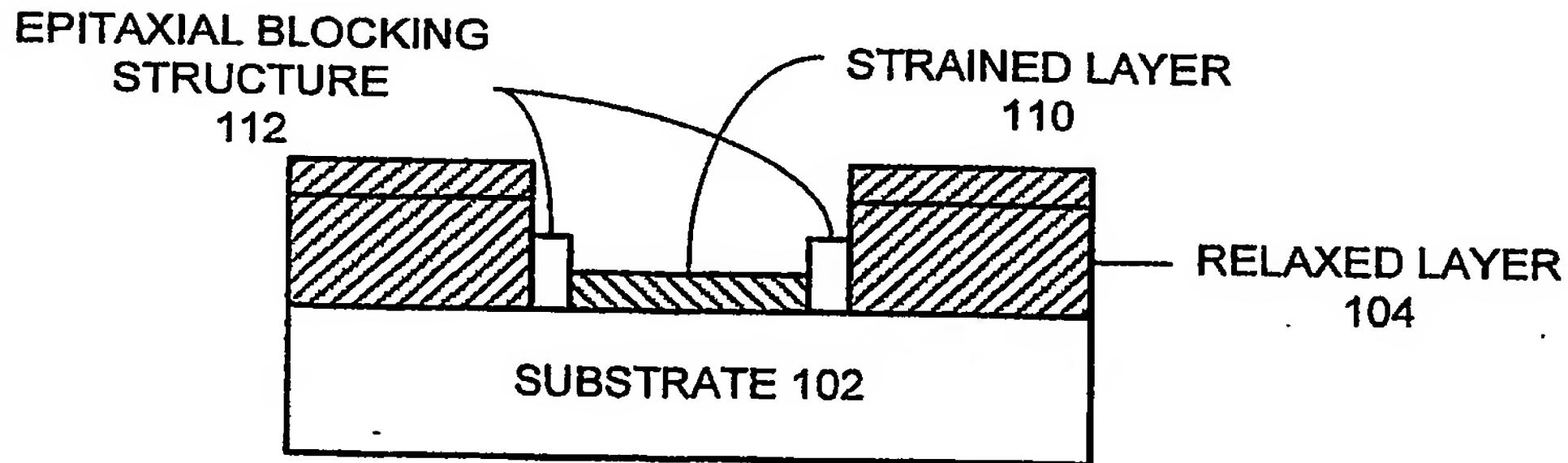
52. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:

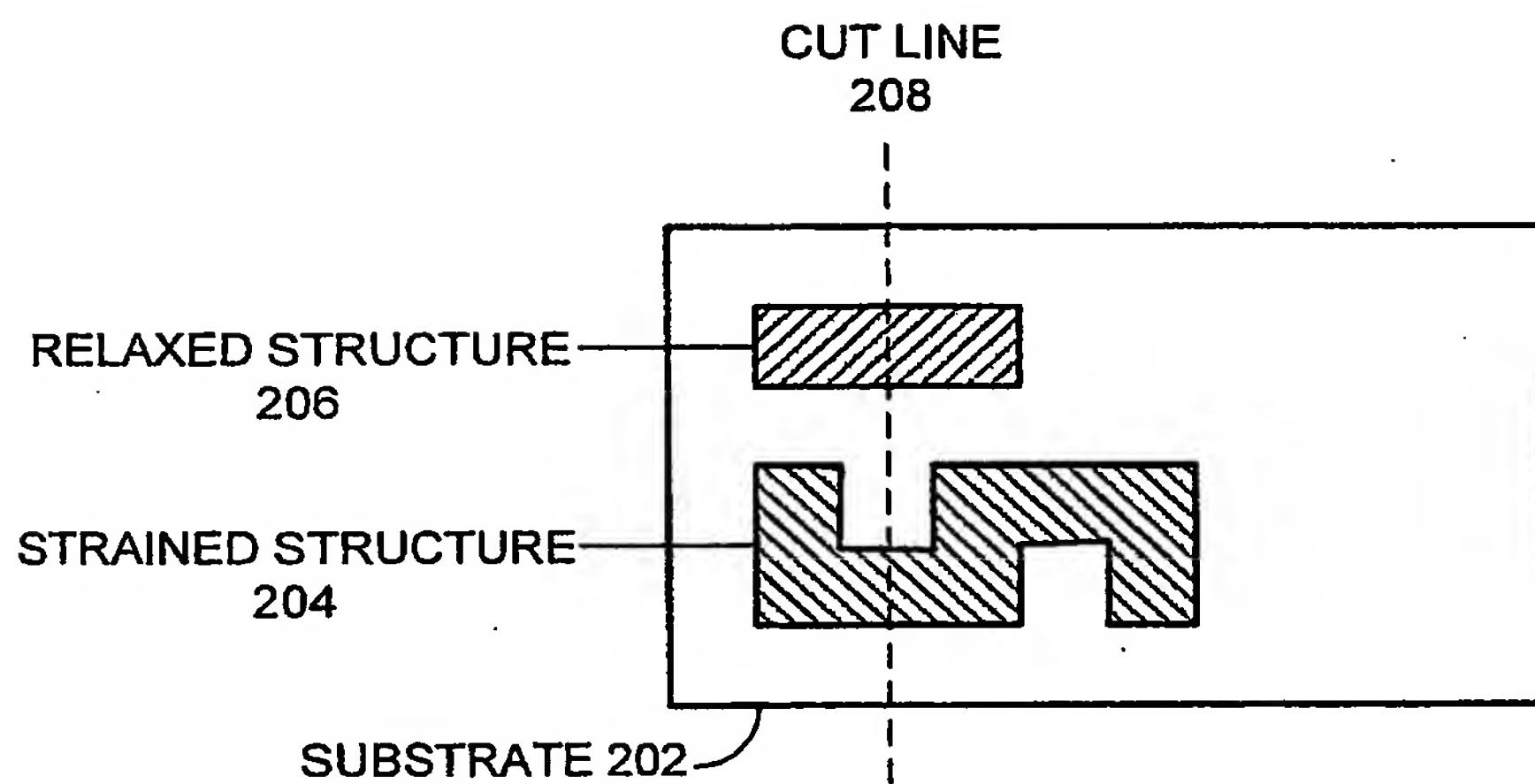
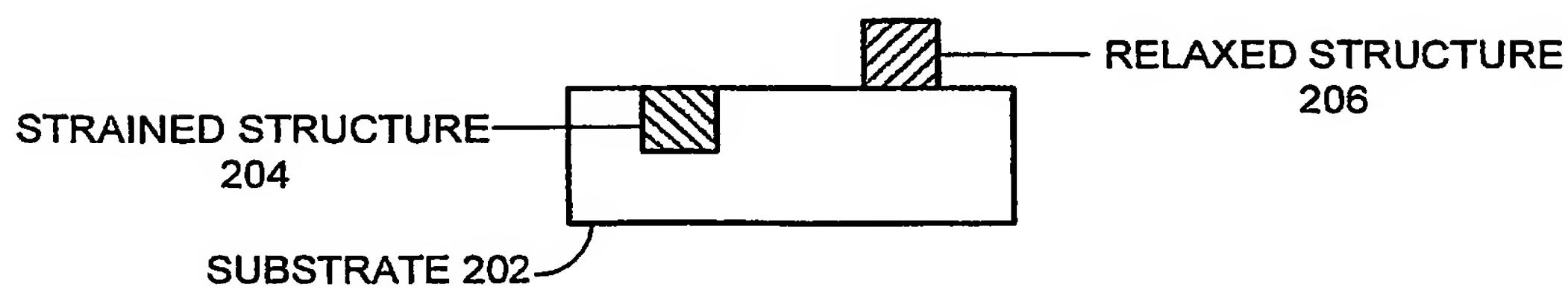
modifying a growth property of an interface with the substrate, wherein modifying the growth property includes one of using a surfactant, using a catalyst material, using selective treatment, and using another method to modify the growth property; and forming a layer on the substrate, wherein a modified area produces areas of
5 different strain.

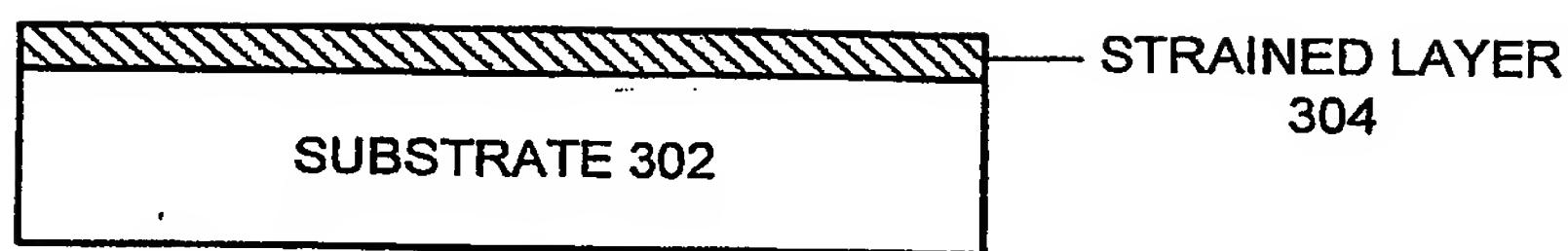
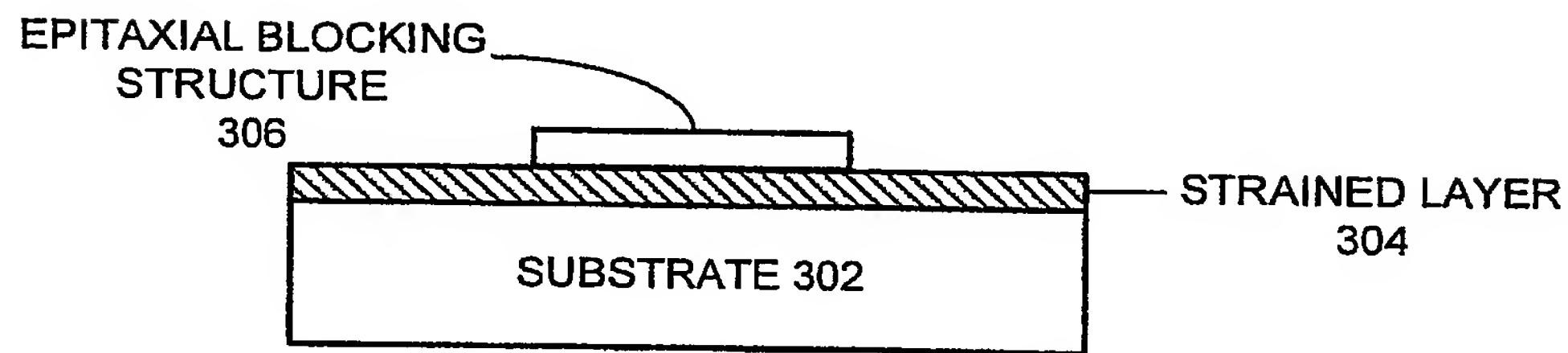
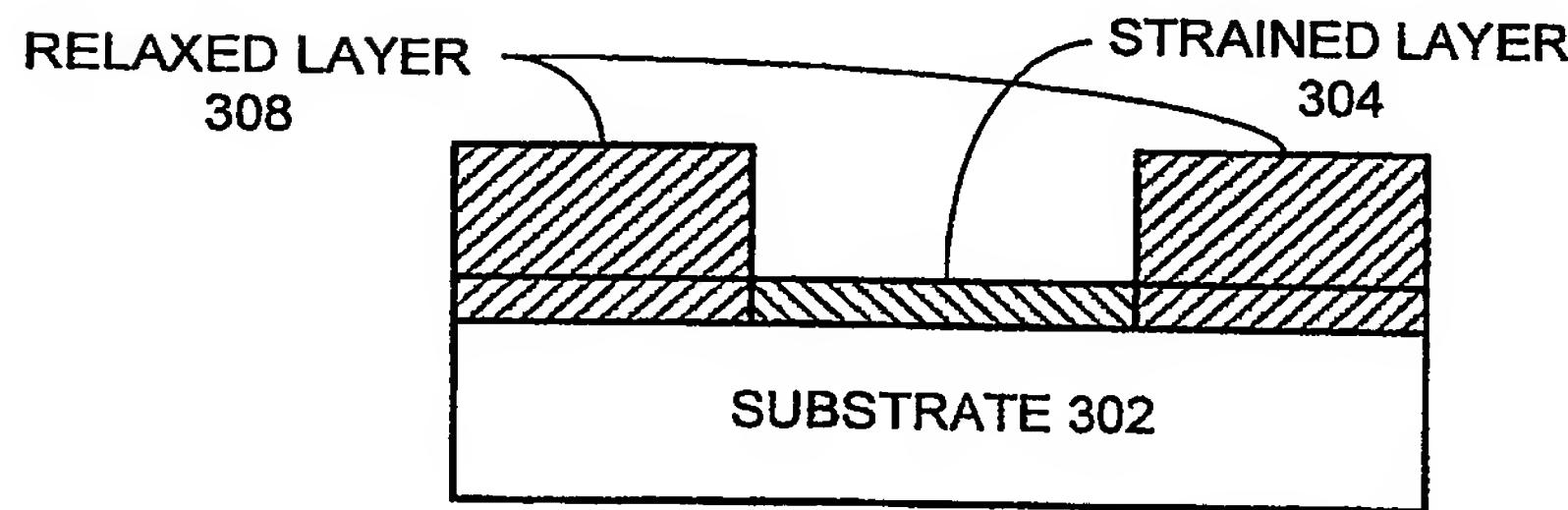
53. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves:
forming a channel on the substrate; and
10 forming a layer within the channel, wherein the channel constrains the layer producing areas of different strain.

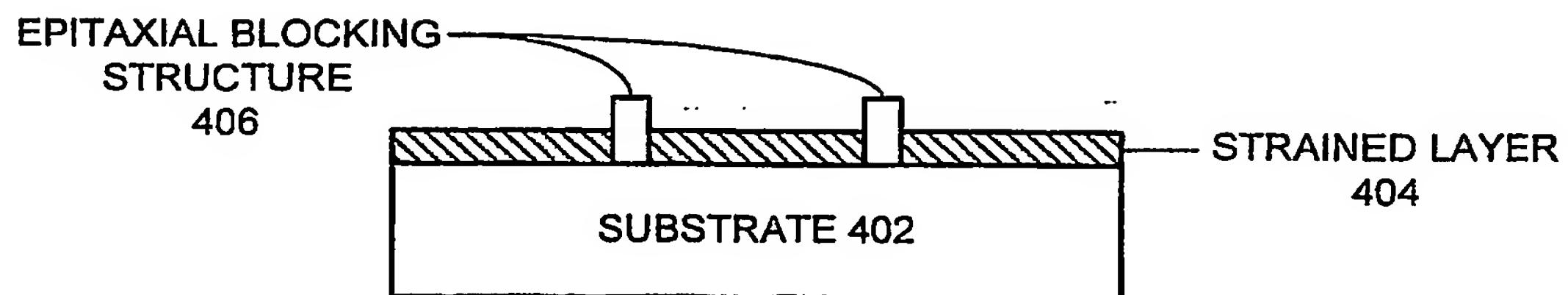
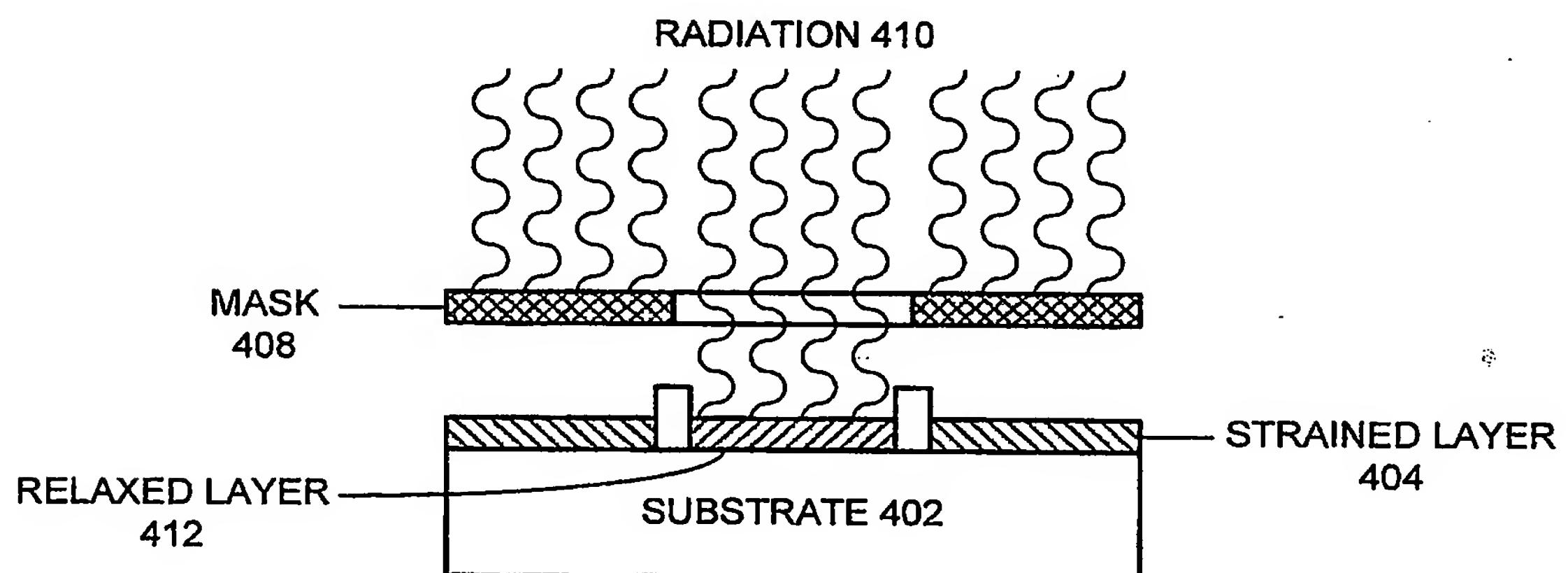
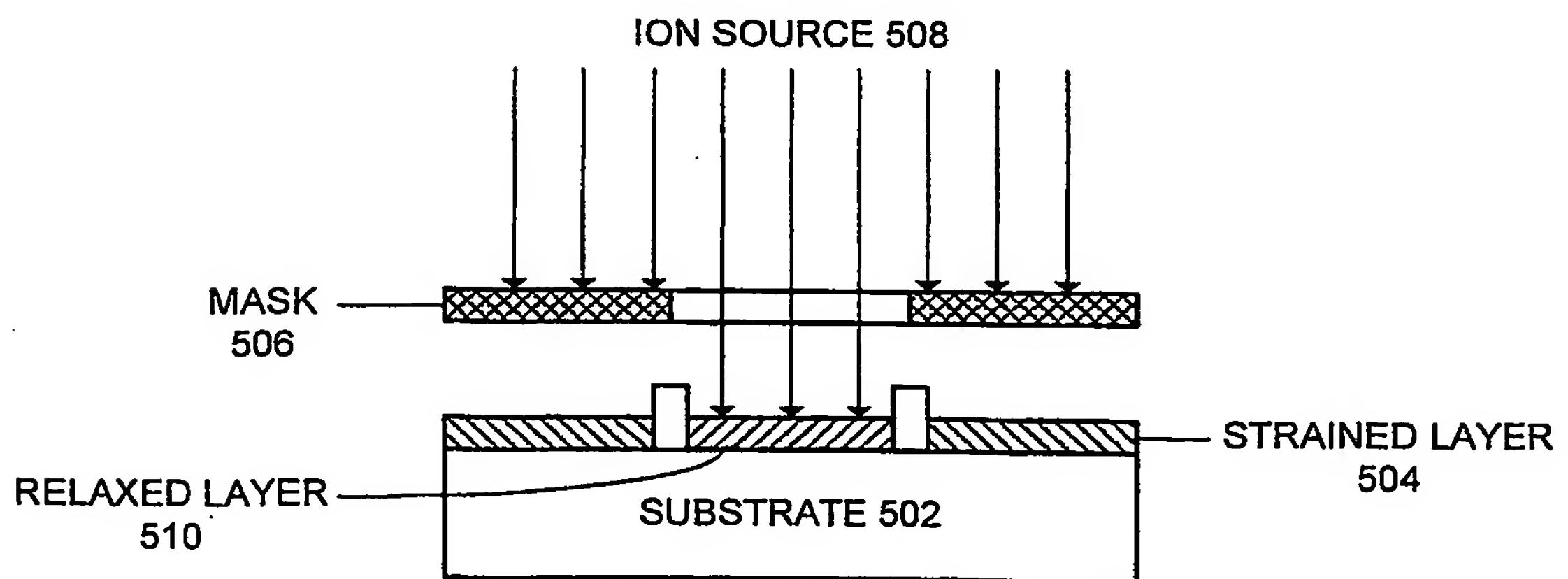
54. The computer-readable storage medium of claim 40, wherein fabricating the plurality of layers on the substrate involves modifying a base material in selected regions of the substrate with an implanted species, wherein the implanted species is implanted by autodoping, and wherein the modified area produces areas of different
15 strain.

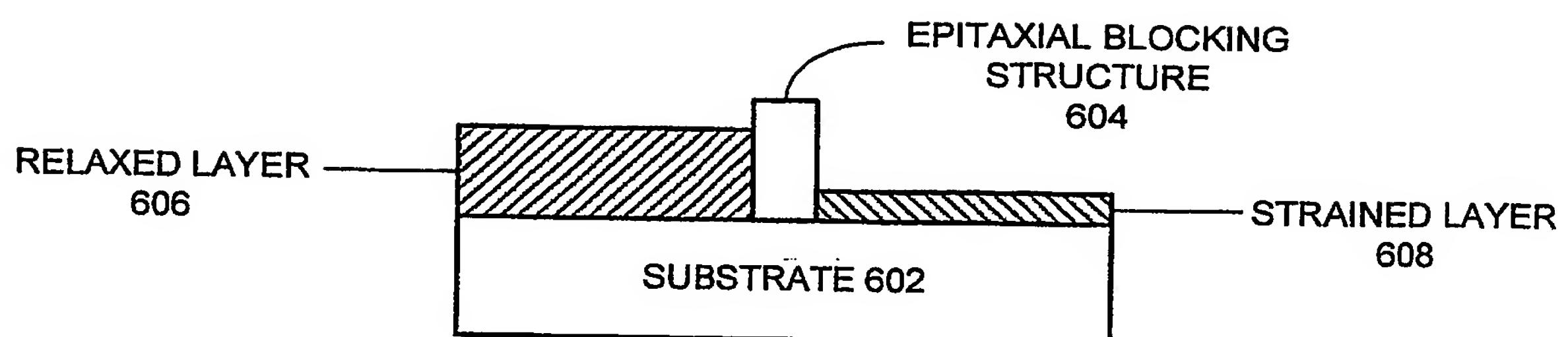
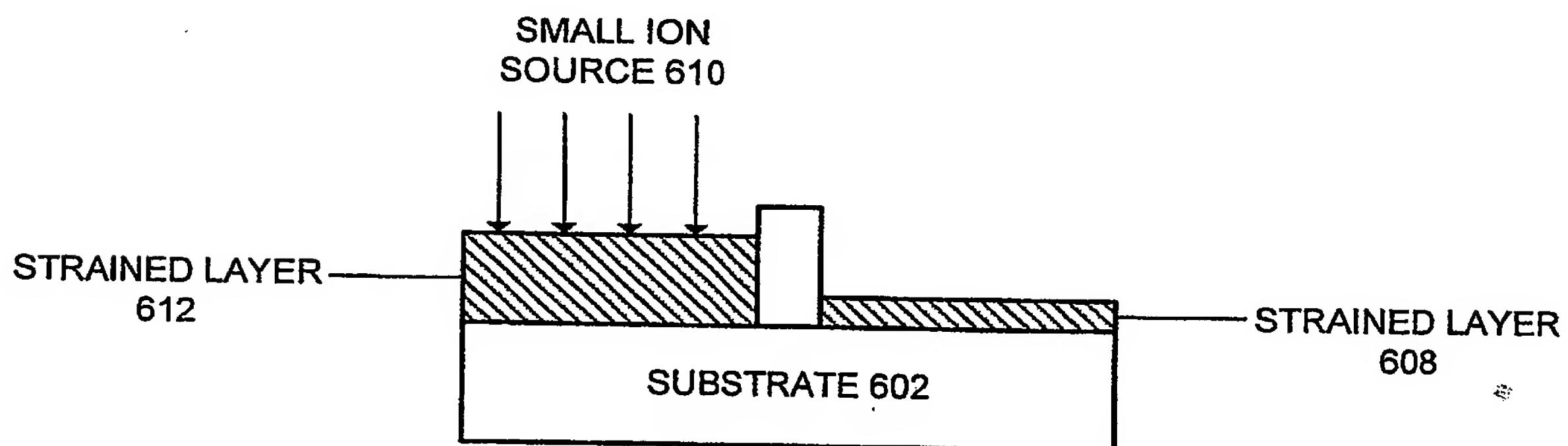
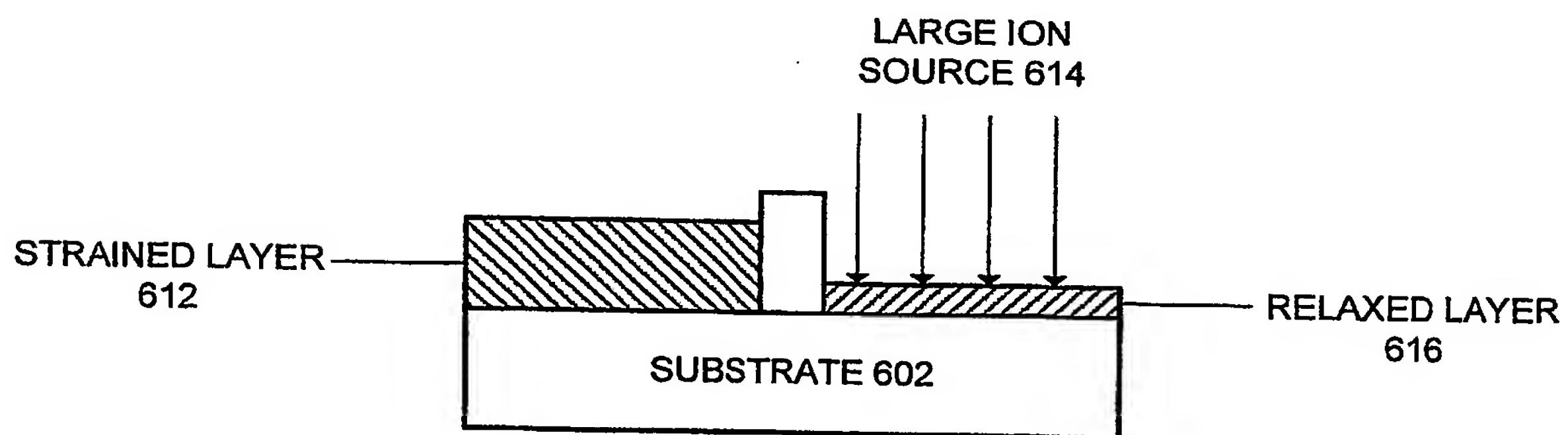
1/6

**FIG. 1A****FIG. 1B****FIG. 1C**

**FIG. 2A****FIG. 2B**

**FIG. 3A****FIG. 3B****FIG. 3C**

**FIG. 4A****FIG. 4B****FIG. 5**

**FIG. 6A****FIG. 6B****FIG. 6C**

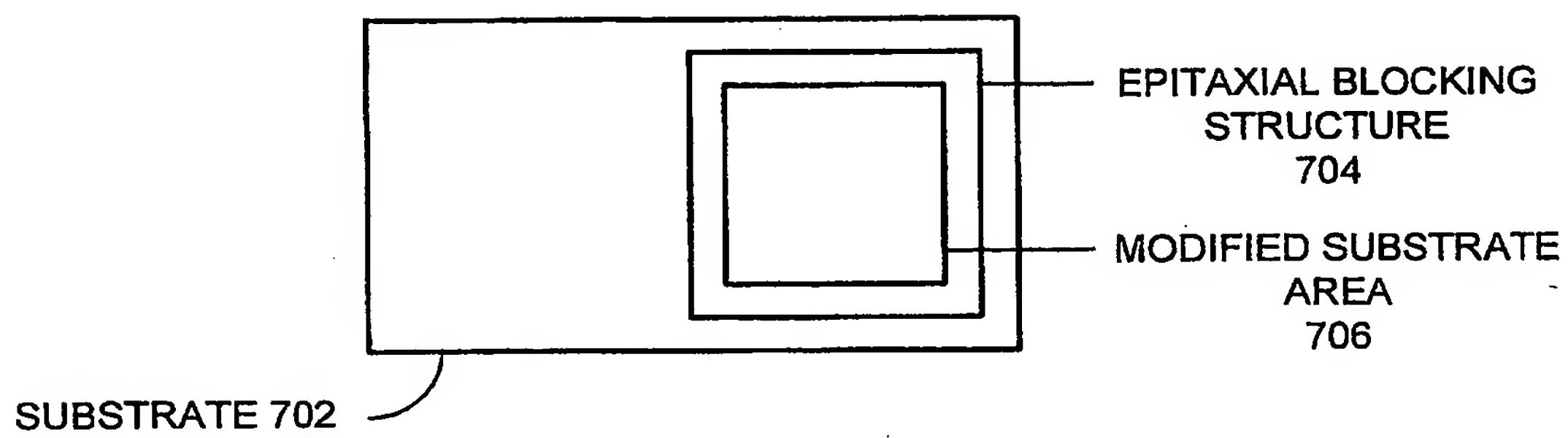


FIG. 7A

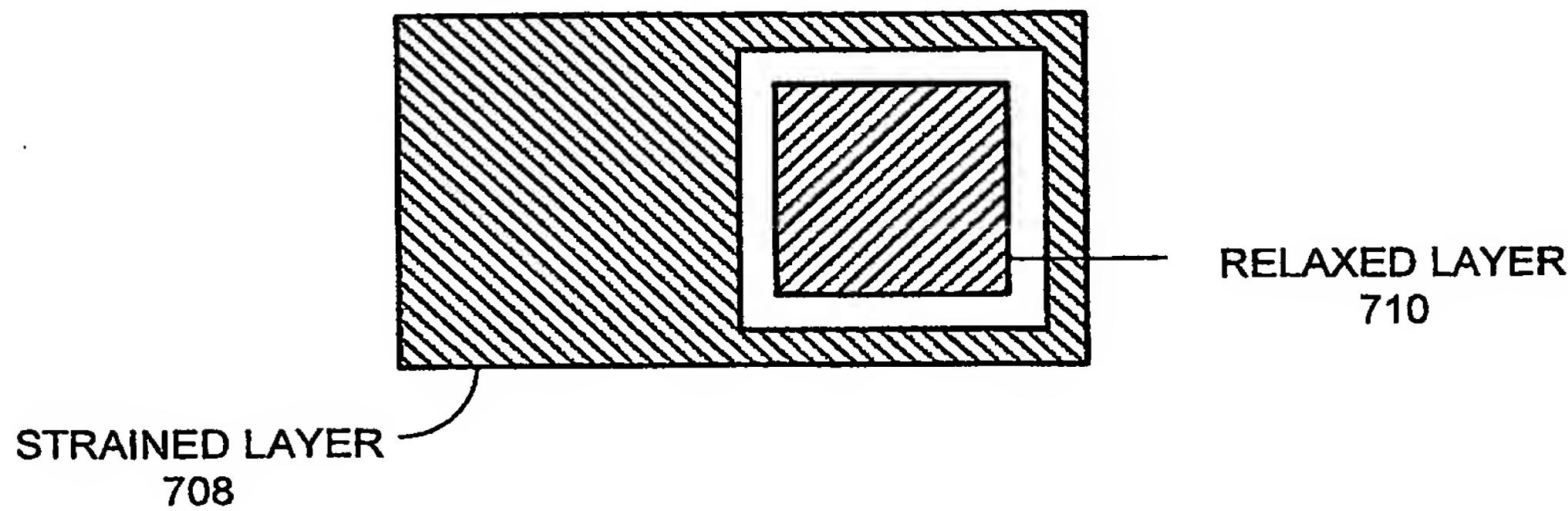


FIG. 7B

THIS PAGE BLANK (USPTO)

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date
27 November 2003 (27.11.2003)

PCT

(10) International Publication Number
WO 2003/098664 A3

(51) International Patent Classification⁷: **H01L 21/00**, 21/20, 21/302, 21/265, 31/109, 31/328, 31/336, 29/06

(21) International Application Number:
PCT/US2003/015598

(22) International Filing Date: 15 May 2003 (15.05.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/380,598 15 May 2002 (15.05.2002) US

(71) Applicant: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA [US/US]; 1111 Franklin St., Twelfth Floor, Oakland, CA 94607 (US).

(72) Inventors: PETERSON, Jeffrey; 8900 Lantana Way, Austin, TX 78749 (US). HUNT, Charles; 35125 County Road 31, Davis, CA 95616 (US).

(74) Agent: PARK, Richard; 508 Second St., Ste. 201, Davis, CA 95616 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

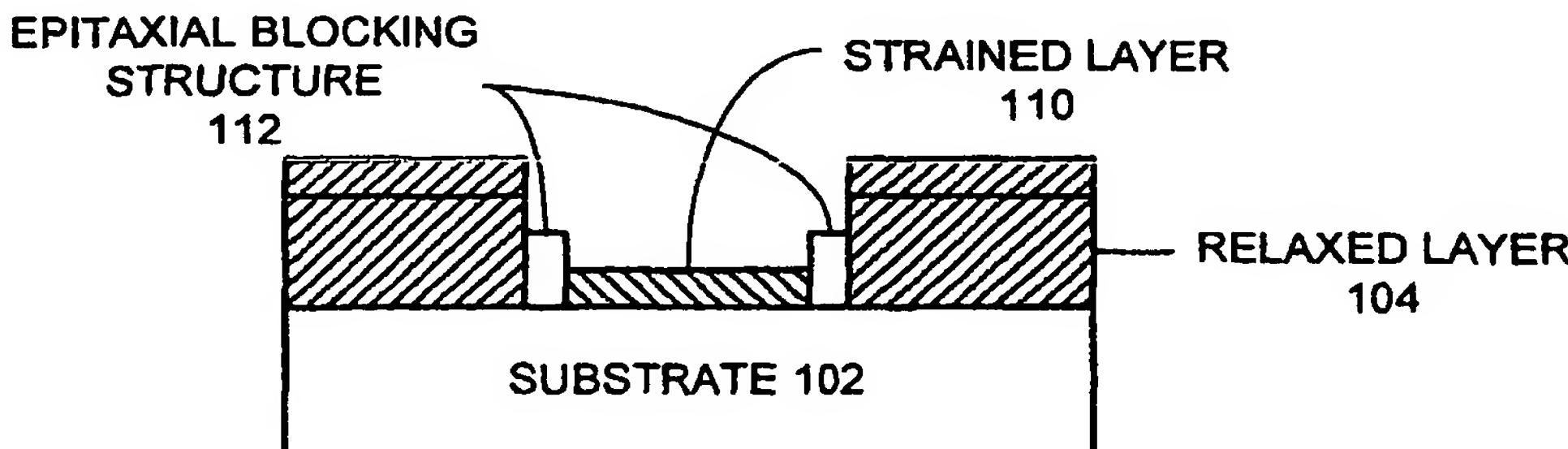
Published:

— with international search report

(88) Date of publication of the international search report: 24 June 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD FOR CO-FABRICATING STRAINED AND RELAXED CRYSTALLINE AND POLY-CRYSTALLINE STRUCTURES



WO 2003/098664 A3

(57) Abstract: One embodiment of the present invention provides a system for co fabricating strained and relaxed crystalline, polycrystalline, and amorphous structures in an integrated circuit device using common fabrication steps. The system operates by first receiving a substrate. The system then fabricates multiple layers on this substrate. A layer within these multiple layers includes both strained structures and relaxed structures. These strained structures and relaxed structures are fabricated simultaneously using common fabrication steps.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US03/15598

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :Please See Extra Sheet.

US CL 438/478, 479, 483,285; 257/19,190,191,192,22,103; 117/200,204

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 438/478, 479

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
~~SEARCHED~~

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WEST, INSPEC, CA

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6,281,097 B1 (AOYOMA) 28 AUGUST 2001 see fig. 2, fig. 5 and realted description	1,3,14,16,27,29,40,41
A,P	US 2003/0052406 A1 (LOCHTEFELD et al) 20 MARCH 2003 absatrct and fig.6	1-54
A	US 5,989,947 A (DILGER et al) 23 NOVEMBER 1999 see absatrct and fig. 2 and fig.5 and related description	1-54
A	US 6,350,993 B1 (Chu et al) 26 FEBRUARY 2002 see absatrct and fig.1 related description	1-54
A	2002/0168864 A1 (CHENG et al) 14 NOVEMBER 2002 see absatrct	1-54

 Further documents are listed in the continuation of Box C. See patent family annex.

• Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Z"	document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

01 OCTOBER 2003

Date of mailing of the international search report

17 MAR 2004

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer
SAVITRI MULPURI

Telephone No. (703) 305-5184

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US03/15598

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A, P	2002/0197803 A1 (LEITZ et al) 26 DECEMBER 2002 see abstarct	1-54
A	US 6,403,975 B1 (BRUNNER et 11 JUNE 2002 see abstarct and fig. 2a and detailed description	1-54

Form PCT/ISA/210 (continuation of second sheet) (July 1998)★

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US09/15598

A. CLASSIFICATION OF SUBJECT MATTER:
IPC (7):

H01L 21/00, 21/20, 21/302, 21/265, 31/109, 31/328, 31/336, 29/06